

*Pink Floyd: "Another Brick in the Wall (Pt. II)"*

**Quantum Mechanics I**

# **Mathematical Prerequisites**

**Linear Vector Spaces  
Linear Self-Adjoint Operators**

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# Mathematical Prerequisites

## Linear Vector Spaces

- The definition has a pre-requisite
- **Ground field** is a collection of numbers  $(\alpha, \beta, \gamma, \dots)$  for which:
  - addition gives a group:  $\alpha + \beta$ ,  $\alpha + 0 = \alpha$ ,  $\alpha + (-\alpha) = 0$ ,  $(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma)$
  - multiplication ( $\alpha \neq 0$ ) gives a group:  $\alpha \cdot \beta$ ,  $\alpha \cdot 1 = \alpha$ ,  $\alpha \cdot (1/\alpha) = 1$ ,  $(\alpha \cdot \beta) \cdot \gamma = \alpha \cdot (\beta \cdot \gamma)$
  - multiplication distributes across addition:  $\alpha \cdot (\beta + \gamma) = \alpha \cdot \beta + \alpha \cdot \gamma$
- For Quantum Mechanics, the ground field will be  $\mathbb{C}$
- A  **$\mathbb{C}$ -Linear vector space**  $V$  is a collection of objects  $v_i$ ,
  - such that all  $\mathbb{C}$ -linear combinations  $\sum_i \alpha_i v_i$  are also in  $V$ .
  - E.g., solutions of linear differential equations form a vector space.
    - E.g., Maxwell's EM equations; superpositions of the  $E$ - and  $B$ -fields
- **Linearly independent**:  $\alpha_1 v_1 + \alpha_2 v_2 = 0$  only if  $\alpha_1 = 0 = \alpha_2$
- **Basis**: the smallest number of vectors  $\{v_1, v_2, \dots, v_d\}$  such that
  - $v = \sum_{1 \leq i \leq d} \alpha_i v_i$  for each  $v \in V$ ;  $d = \dim(V)$ .

# Mathematical Prerequisites


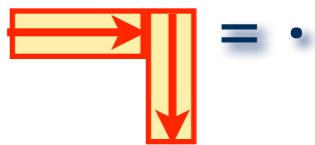
## Linear Vector Spaces

- Example:  $V =$  all linear combinations of  $e^x$ ,  $e^{2x}$  and  $e^x(e^x-1)$ 
  - A basis:  $\{e^x, e^{2x}\}$  since  $\alpha_1 e^x + \alpha_2 e^{2x} = 0$  (for all  $x$ ) only if  $\alpha_1 = 0 = \alpha_2$
  - and  $c_1 e^x + c_2 e^{2x} + c_3 e^x(e^x-1) = (c_1 - c_3)e^x + (c_2 + c_3)e^{2x}$
- Linear vector spaces may be
  - **Finite**:  $\dim(V) < \infty$ , e.g., 3D real vectors
  - **Discrete**:  $\dim(V) = \infty$  but  $V$ -bases are countable, e.g., guitar string
  - **Continuous**:  $\dim(V) = \infty$  but  $V$ -basis are uncountable, e.g., all differentiable functions
- Also,  $\text{span}(v_1, v_2, v_3) =$  vector space of all linear combinations of  $v_1, v_2, v_3$
- In QM, all three occur, and quite regularly
- We will write, formally:
  - $\{\phi_n\}$  for a basis of the linear vector space and  $\sum_n c_n \phi_n$  of a superposition, regardless whether  $n$  is finite, discrete or continuous
  - Where necessary, remark on any subtleties incurred by this



# Mathematical Prerequisites

## Linear Vector Spaces

- A **scalar product**  $(\chi, \psi)$  is a 2-argument function such that:
  - a:  $(\chi, \psi)$  is a complex scalar
  - b:  $(\psi, \chi) = (\chi, \psi)^*$
  - c:  $(\chi, c_1\psi_1 + c_2\psi_2) = c_1(\chi, \psi_1) + c_2(\chi, \psi_2)$
  - d:  $(\psi, \psi) \geq 0$ , and  $(\psi, \psi) = 0$  only if  $\psi = 0$
  - Together, (b) and (c) imply  $(c_1\chi_1 + c_2\chi_2, \psi) = c_1^*(\chi_1, \psi) + c_2^*(\chi_2, \psi)$
- For discrete (countable) vector spaces,
  - a vector  $\psi$  is a column-vector with components  $\psi_i$  
  - $(\chi, \psi) = (\text{row-}\chi) \cdot (\text{column-}\psi) = \sum_i \chi_i^* \psi_i$  
- For continuous (uncountable) vector spaces,
  - a vector  $\psi$  is a function with "components"  $\psi(x)$
  - $(\chi, \psi) = \int dx w(x) \chi^*(x) \psi(x)$ , where  $w(x) \geq 0$  is a weight-function
  - $w(x) > 0$ , except  $w(x) = 0$  at isolated points

# Mathematical Prerequisites

## Linear Vector Spaces

- Using  $(d)$ , we *define* the norm:  $\|\psi\| \equiv (\psi, \psi)^{1/2}$
- Two vectors are orthogonal w.r. to a given scalar product
  - if the scalar product vanishes  $(\chi, \psi) = 0$
  - May also define the angle  $\Delta_{\chi, \psi} \equiv \cos^{-1}[(\chi, \psi) / (\|\chi\| \|\psi\|)]$
  - A set of vectors (or a basis) is orthogonal if  $(\psi_i, \psi_j) = 0$  for  $i \neq j$
  - A set of vectors (or a basis) is orthonormal if  $(\psi_i, \psi_j) = \delta_{ij}$ 
    - The latter case implicitly requires a Dirac delta-symbol
- Two standard inequalities:
  - Schwarz's inequality:  $|(\chi, \psi)| \leq \|\chi\| \|\psi\|$
  - Triangle inequality:  $\|(\chi + \psi)\| \leq \|\chi\| + \|\psi\|$

# Mathematical Prerequisites

## Linear Vector Spaces

- For each  $V$ , there is a *dual* space of **linear functionals** on  $V$ 
  - A functional assigns to each vector a scalar  $F[\psi] \in \mathbb{C}$
  - A functional is linear if  $F[c_1\psi_1 + c_2\psi_2] = c_1 F[\psi_1] + c_2 F[\psi_2]$
  - Functionals themselves form a vector space,  $V^\circ$ , by defining
    - $(C_1 F_1 + C_2 F_2)[\psi] \equiv C_1 F_1[\psi] + C_2 F_2[\psi]$
- **Riesz theorem**: there is an isomorphism  $V \leftrightarrow V^\circ$  such that  $X[\psi] = (\chi, \psi)$ .
  - Clearly,  $\chi$  defines  $X[\dots] = (\chi, \dots)$
  - In turn,  $X[\dots]$  defines  $\chi = \sum_i (F[\psi_i])^* \psi_i$  w.r. to any basis  $\{\psi_i\}$
- Dirac notation:  $\psi \rightarrow |\psi\rangle$  and  $X[\dots] = (\chi, \dots) \rightarrow \langle \chi |$ 
  - Then  $(\chi, \psi) = \langle \chi | \psi \rangle$
  - However, for infinite-dimensional vector spaces, subtleties may force us to consider rigged Hilbert space triples
  - where there are more bras than kets



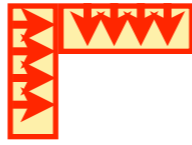
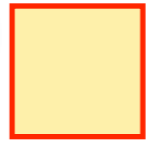
# Mathematical Prerequisites

## Linear Self-Adjoint Operators

- An **operator** acts on a vector and produces a vector
  - **Domain** = all vectors on which an operator is defined to act
  - An operator is linear if  $F(c_1\psi_1+c_2\psi_2) = c_1(F\psi_1) + c_2(F\psi_2)$
  - $A = B$  means that  $A\psi = B\psi$  for all  $\psi$  in the common domain
- Operator algebra
  - Sum:  $(A+B)\psi = A\psi + B\psi$
  - Product:  $AB\psi = A\circ B\psi = A(B\psi)$ ;  $A(BC) = (AB)C$  but  $AB \neq BA$
  - In a discrete vector space, operators are matrices
  - Operatorial identities
    - mean 
$$\frac{\partial}{\partial x} x \psi(x) = \mathbb{1} \psi(x) + x \frac{\partial}{\partial x} \psi(x)$$
- Action to left:  $(\langle \chi | A | \psi \rangle := \langle \chi | A | \psi \rangle \quad \forall \langle \chi |, | \psi \rangle$

# Mathematical Prerequisites

## Linear Self-Adjoint Operators

- The trace:**  $\text{Tr}(A) := \sum_j \langle \psi_j | A | \psi_j \rangle$
  - is cyclic:  $\text{Tr}(AB \cdots C) = \text{Tr}(B \cdots CA)$        $\text{Tr}(AB) = \text{Tr}(BA)$
  - For operators on finite vector spaces,  $\text{Tr}$  = sum of diagonal elements
  - For operators on infinite vector spaces,  $\text{Tr}$  = sum must converge
  - Adjoint:**  $\langle \chi | A^\dagger | \psi \rangle := \langle \psi | A | \chi \rangle^* \quad \forall \langle \chi |, | \psi \rangle$
  - Properties:**  $(cA)^\dagger = c^* A^\dagger \quad c \in \mathbb{C}$        $(A+B)^\dagger = A^\dagger + B^\dagger$        $(AB)^\dagger = B^\dagger A^\dagger$
  - Exterior product**  $| \psi \rangle \langle \chi |$   =   $(| \psi \rangle \langle \chi |)^\dagger = | \chi \rangle \langle \psi |$   
 is an operator
  - Self-Adjoint:**  $\langle \chi | A^\dagger | \psi \rangle \stackrel{!}{=} \langle \chi | A | \psi \rangle \quad \forall \langle \chi |, | \psi \rangle$   
 $\langle \psi | A | \chi \rangle^* \stackrel{!}{=} \langle \psi | A^\dagger | \chi \rangle$
- Just like a Hermitian conjugate of a matrix  $M_{ij} = (M_{ji})^*$



# Mathematical Prerequisites

## Linear Self-Adjoint Operators

Thm.1:  $\langle \psi | A | \psi \rangle = \langle \psi | A | \psi \rangle^* \Rightarrow \langle \psi_1 | A | \psi_2 \rangle = \langle \psi_2 | A | \psi_1 \rangle^*$

no dagger!

Definition:  $A | \alpha_n \rangle = \alpha_n | \alpha_n \rangle$

↑ eigenvector    ↑ eigenvalue

Thm.2: If  $A = A^\dagger$ , then all eigenvalues are real.

Thm.3: If  $A = A^\dagger$ , then eigenvectors of distinct eigenvalues are orthogonal.

Definition: a set of vectors (w / prop.X) is complete, then all vectors (w / prop.X) can be written as a linear combination

Formally:  $\{ | \psi_i \rangle \}$  complete  $\Rightarrow \sum_i | \psi_i \rangle \langle \psi_i | = \mathbb{1}$

Indeed:

$$\begin{aligned}
 | \chi \rangle &= \mathbb{1} | \chi \rangle = \sum_i | \psi_i \rangle \langle \psi_i | | \chi \rangle = \sum_i | \psi_i \rangle \underbrace{\langle \psi_i | \chi \rangle}_{:=c_i} \\
 &= \sum_i c_i | \psi_i \rangle \quad c_i := \langle \psi_i | \chi \rangle
 \end{aligned}$$

$$\begin{aligned}
 \Pi_i &:= | \psi_i \rangle \langle \psi_i | \\
 \Pi_i \Pi_i &= \Pi_i \\
 &\text{projectors}
 \end{aligned}$$

The Fourier theorem, generalized

# Mathematical Prerequisites

## Linear Self-Adjoint Operators

● **Thm.  $\pi$** : If  $A^\dagger = A$   $A|n\rangle = a_n |n\rangle$ ,  $\langle n|m\rangle = \delta_{nm}$   $\sum_n |n\rangle \langle n| = \mathbb{1}$

● then

$$A = \sum_n a_n |n\rangle \langle n| \quad f(A) := \sum_n f(a_n) |n\rangle \langle n|$$

● **Caveat**: The existence and completeness of eigenvectors strongly depends on boundary conditions. [p.18–20]

● How so?

● Consider  $\partial_x := \partial/\partial x$  and know that  $e^{ax}$  are eigenfunctions:  $\partial_x e^{ax} = a e^{ax}$

● Is  $\partial_x$  self-adjoint?

No:  $\int_a^b dx f^*(x) [\partial_x g(x)] = [f^*(x)g(x)]_a^b - \int_a^b dx [\partial_x f(x)]^* g(x)$

● Sign: define  $D_x := -i\partial_x$

● Boundary terms: functions  $f(x)$  and  $g(x)$  must be restricted so it vanishes

● They define the the domain self-adjointness of  $D_x$

● p.19–20 list four choices, only two of which make  $D_x$  self-adjoint



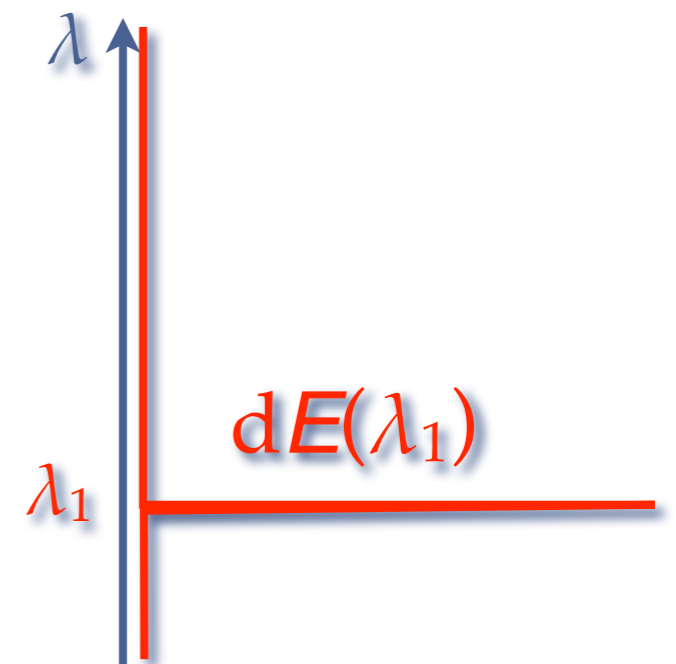
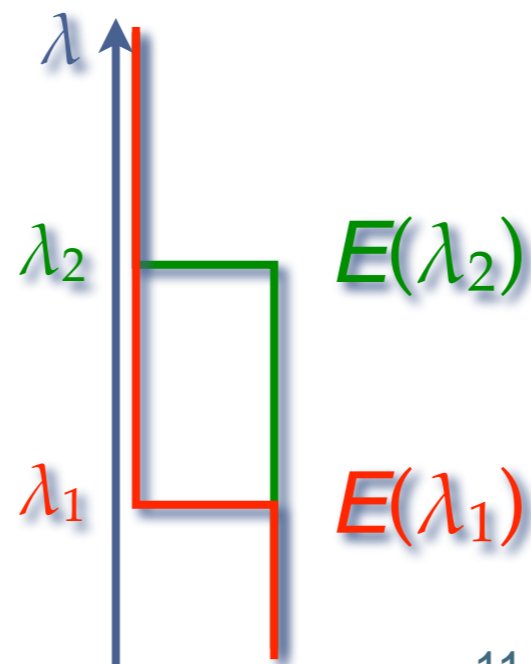
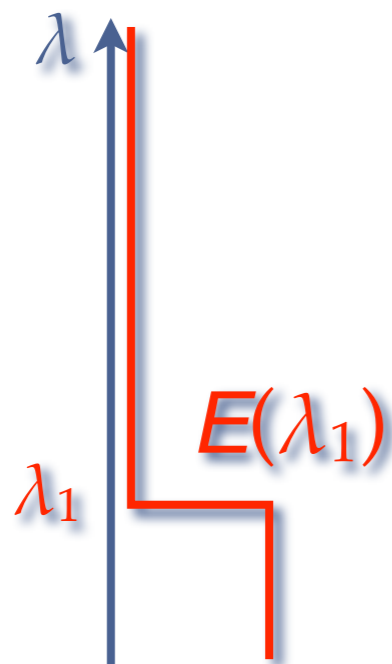
# Mathematical Prerequisites

## Linear Self-Adjoint Operators

● **Thm.4 (spectral)**: Each self-adjoint operator has a unique family of projection operators  $E(\lambda)$ , for real  $\lambda$ , such that:

1. If  $\lambda_1 < \lambda_2$  then  $E(\lambda_1)E(\lambda_2) = E(\lambda_2)E(\lambda_1) = E(\lambda_1)$ .
2. If  $\epsilon > 0$  then  $\lim_{\epsilon \rightarrow 0} E(\lambda + \epsilon) |\psi\rangle = E(\lambda) |\psi\rangle$ .
3.  $\lim_{\lambda \rightarrow -\infty} E(\lambda) |\psi\rangle = 0$ .
4.  $\lim_{\lambda \rightarrow +\infty} E(\lambda) |\psi\rangle = |\psi\rangle$ .
5.  $\int_{-\infty}^{+\infty} dE(\lambda) \lambda = A$ . — reconstructs  $A$

$E(\lambda)$  projects onto states with eigenvalue  $\leq \lambda$



# Mathematical Prerequisites

## Linear Self-Adjoint Operators

- **Thm.5:** If  $A$  and  $B$  are self-adjoint operators, each with a complete set of eigenvectors and  $AB = BA$ , then they have a complete set of common (simultaneous) eigenvectors.
  - Define  $[A, B] = AB - BA$ , the commutator
  - If  $[A, B] \neq 0$ , there is no common eigenvector
- **Thm.6:** Any operator that commutes with all members  $A_i$  of a complete commuting set must itself be a function of  $A_i$ .
- **Rigged Hilbert triple:**  $(\Omega \subset H \subset \Omega^*)$ 
  - Start with  $\mathcal{E}$ , a countably infinite collection of vectors
  - $V \subset \mathcal{E}$  a collection of finite linear combinations
  - $H \subset \mathcal{E}$  completion of  $V$ , with limits of all norm-convergent sequences
  - $\Omega \subset H$  with some *stronger* convergence / functional requirement
  - $H^*$  = conjugate: all vectors  $f$  such that  $(f, h) < \infty$  for all  $h \in H$
  - Then  $V \subset \Omega \subset H = H^* \subset \Omega^* \subset V^* = \mathcal{E}$ .  $\#[\Omega = \text{kets}] < \#[\Omega^* = \text{bras}]$ .



# Quantum Mechanics I

*Now, go forth and  
calculate!!!*

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