

(Fundamental) Physics of Elementary Particles

**Non-abelian gauge symmetry; QCD Lagrangian,
color-conservation law and equations of motion**

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Fundamental Physics of Elementary Particles

PROGRAM

- A gauge (local) symmetry principle recap
 - The partial derivative vs. the gauge-covariant derivative
 - General transformation “rules”
- The $SU(3)_c$ transformations
 - Color as a 3-dimensional charge
 - Matrix-valued phases and local symmetry
 - Matrix representations of $SU(3)$
- The $SU(3)_c$ -invariant Lagrangian
 - The curvature tensor and the Bianchi identity
 - Equations of motion
 - Color conservation and equation of continuity

Gauge (Local) Symmetry Principle

PARTIAL VS. GAUGE-COVARIANT DERIVATIVES

- First and foremost:
- The mathematical object, $\Psi(\mathbf{r}, t)$, used to represent a particle
- depends on (is a function of)

- external**
- the position in space and time,
 - the phase (as a complex function),
 - additional degrees of freedom
- internal**
- spin
 - isospin
 - color
 - ...

base

... all of which are
“coordinates,” in
a broad sense of
the word.

fiber

- Gauge (local symmetry) principle:
 - internal coordinates are free to depend on external ones.

fiber bundles/sheaves/ ...

Gauge (Local) Symmetry Principle

PARTIAL VS. GAUGE-COVARIANT DERIVATIVES

- Derivatives compute the rate of change
- So, if $\Psi(\mathbf{r}, t)$ also depends on a (\mathbf{r}, t) -dependent phase,
- then the rate of change stems from
 - varying $\Psi(\mathbf{r}, t)$ explicitly, and
 - varying $\Psi(\mathbf{r}, t)$ implicitly, *via* varying its phase.
- If “gauge” refers to “fixing” that phase,
- ...then a “gauge-covariant” derivative
- ...must contain two terms: $D_\mu = \partial_\mu + A_\mu(\mathbf{r}, t)$,
- where $A_\mu(\mathbf{r}, t)$ is the gauge potential, a.k.a. connexion.
- Mathematicians: $dx^\mu D_\mu = dx^\mu \partial_\mu + dx^\mu A_\mu(\mathbf{r}, t)$ **connexion 1-form**
 - is now the (external) coordinate-independent definition.

Gauge (Local) Symmetry Principle

GENERAL TRANSFORMATION “RULES”

- The unitary gauge (local symmetry) transformation is of the form $U_\varphi = \exp\{i \varphi \cdot Q\}$, ($U_\varphi^\dagger = U_\varphi^{-1}$)
 - where φ is the (array of) gauge parameter(s),
 - where Q is the (array of) gauge transformation generator(s).
- Then,
 - $\Psi(\mathbf{r}, t) \rightarrow U_\varphi \Psi(\mathbf{r}, t)$;
 - $\Psi^\dagger(\mathbf{r}, t) \rightarrow \Psi^\dagger(\mathbf{r}, t) U_\varphi^{-1}$;
 - $\mathcal{O}(\mathbf{r}, t) \rightarrow U_\varphi \mathcal{O}(\mathbf{r}, t) U_\varphi^{-1}$;
 - ...and therefore also: $D_\mu \rightarrow U_\varphi D_\mu U_\varphi^{-1}$.
- Thus,
 - $\partial_\mu + A_\mu(\mathbf{r}, t) \rightarrow U_\varphi (\partial_\mu + A_\mu(\mathbf{r}, t)) U_\varphi^{-1}$ implies that
 - $A_\mu(\mathbf{r}, t) \rightarrow U_\varphi (-i (\partial_\mu \varphi) + A_\mu(\mathbf{r}, t)) U_\varphi^{-1}$.

The $SU(3)_c$ Transformations

COLOR AS A 3-DIMENSIONAL CHARGE

- Recall:

- $\Delta^{++} = (uuu),$
 - $\Delta^- = (ddd),$
 - $\Omega^- = (sss).$
- } Spin- $3/2$ baryons
S-states; no orbital angular momentum
Spatially symmetric wave-functions

- It follows that:

- either quarks are not fermions (O.W. Greenberg, 1964),

$$\begin{array}{lll}
 [b_i, b_j^\dagger] = \delta_{ij}, & [b_i, b_j] = 0 = [b_i^\dagger, b_j^\dagger], & \text{bosons,} \\
 \{f_i, f_j^\dagger\} = \delta_{ij}, & \{f_i, f_j\} = 0 = \{f_i^\dagger, f_j^\dagger\}, & \text{fermions,}
 \end{array}$$

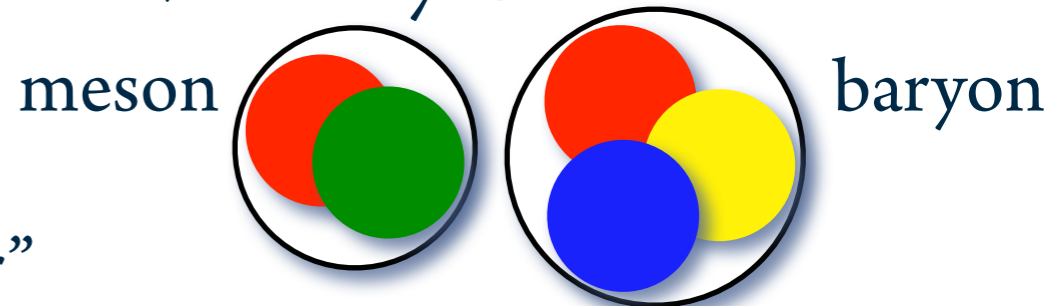
$$\left. \begin{array}{ll}
 \{\tilde{f}_{i,\alpha}, \tilde{f}_{j,\alpha}^\dagger\} = \delta_{ij}, & \{\tilde{f}_{i,\alpha}, \tilde{f}_{j,\alpha}\} = 0 = \{\tilde{f}_{i,\alpha}^\dagger, \tilde{f}_{j,\alpha}^\dagger\}, \\
 [\tilde{f}_{i,\alpha}, \tilde{f}_{j,\beta}^\dagger] = \delta_{ij}, & [\tilde{f}_{i,\alpha}, \tilde{f}_{j,\beta}] = 0 = [\tilde{f}_{i,\alpha}^\dagger, \tilde{f}_{j,\alpha}^\dagger], \quad \alpha \neq \beta,
 \end{array} \right\} \text{para-fermions}$$

- ...or...

The $SU(3)_c$ Transformations

COLOR AS A 3-DIMENSIONAL CHARGE

- Quarks are fermions,
- ... but have an additional degree of freedom.
- January 1965: Boris V. Struminsky, Dubna (Moscow, Russia)
- ... then with N. Bogolyubov + Albert Tavchelidze
- May 1965, A. Tavchelidze: ICTP, Trieste (Italy)
- December 1965, Moo-Young Han + Yoichiro Nambu
 - integrally charged, colored quarks + 8 (color-anticolor) gluons
- Final version (w/fractionally charged quarks):
1974, William Bardeen, Harald Fritzsch & Murray Gell-Mann
- Quark: $\Psi_n^{aA}(\mathbf{r}, t)$, where:
 - $n = u, d, s, c, \dots$ indicates the flavor
 - $a = \text{red, blue, yellow}$ indicates the “color”
 - $A = 1, 2, 3, 4$ indicates the component of the Dirac spinor
- P.S.: Greenberg subsequently proved equivalence ...



The $SU(3)_c$ Transformations

MATRIX-VALUED PHASES AND LOCAL SYMMETRY

- Without spelling out the Dirac components,

$$\Psi_n(\mathbf{x}) = \hat{e}_\alpha \Psi_n^\alpha(\mathbf{x}) = \hat{e}_r \Psi_n^r(\mathbf{x}) + \hat{e}_y \Psi_n^y(\mathbf{x}) + \hat{e}_b \Psi_n^b(\mathbf{x}) = \begin{bmatrix} \Psi_n^r(\mathbf{x}) \\ \Psi_n^y(\mathbf{x}) \\ \Psi_n^b(\mathbf{x}) \end{bmatrix},$$

- ... where $n = u, d, s, c, b, t$ indicates the “flavor.”
- Arranging the colors in a matrix format,
 - the quark wave-function phase becomes 3×3 matrix-valued,
 - as does the unitary phase-transformation operator U_φ .

$$\Psi_n(\mathbf{x}) \rightarrow e^{ig_c \boldsymbol{\varphi}(\mathbf{x}) / \hbar} \Psi_n(\mathbf{x}), \quad \boldsymbol{\varphi}(\mathbf{x}) := \varphi^a(\mathbf{x}) Q_a,$$

- where Q_a are 3×3 matrices
 - Hermitian, so U_φ would be unitary,
 - traceless, so U_φ would be unimodular. Diagonal phase-transformation pertains to electromagnetism...

The $SU(3)_c$ Transformations

MATRIX-VALUED PHASES AND LOCAL SYMMETRY

- Gauge (local symmetry) transformations

$$[i\hbar\mathcal{D} - mc]\Psi_n(\mathbf{x}) = 0 \rightarrow [i\hbar\mathcal{D}' - mc]\Psi'_n(\mathbf{x}) = 0,$$

$$D_\mu \rightarrow D'_\mu := U_\varphi D_\mu U_\varphi^{-1},$$

$$U_\varphi := e^{ig_c\varphi/\hbar},$$

- Notice the multi-component-ness:

$$\mathcal{D}\Psi_n \equiv \gamma^\mu D_\mu \Psi_n, \quad (\mathcal{D}\Psi_n)^\alpha \equiv \gamma^\mu D_{\mu\beta}^\alpha \Psi_n^\beta, \quad (\mathcal{D}\Psi_n)^{\alpha A} \equiv (\gamma^\mu)^A_B D_{\mu\beta}^\alpha \Psi_n^{\beta B},$$

- ... which is usually suppressed in notation.
- In general:

$$D_\mu := \mathbb{1} \partial_\mu + \frac{ig_c}{\hbar c} A_\mu^a Q_a,$$

- where however the *form* of Q_a depends on what it acts upon.

The $SU(3)_c$ Transformations

MATRIX REPRESENTATIONS OF $SU(3)$

- As obtained in the “general” formalism:

$$A'^a_{\mu} Q_a = A^a_{\mu} U_{\boldsymbol{\varphi}} Q_a U_{\boldsymbol{\varphi}}^{-1} + \frac{\hbar c}{ig_c} U_{\boldsymbol{\varphi}} (\partial_{\mu} U_{\boldsymbol{\varphi}}^{-1}) = A^a_{\mu} U_{\boldsymbol{\varphi}} Q_a U_{\boldsymbol{\varphi}}^{-1} - c (\partial_{\mu} \varphi^a) Q_a,$$

- ... where

$$\mathbb{A}'_{\mu} = U_{\boldsymbol{\varphi}} \mathbb{A}_{\mu} U_{\boldsymbol{\varphi}}^{-1} - c (\partial_{\mu} \boldsymbol{\varphi}), \quad \mathbb{A}_{\mu} := A^a_{\mu} Q_a,$$

- ... and where

$$[Q_a, Q_b] = i f_{ab}^c Q_c.$$

- are the 3×3 matrices that act upon the (quark) color 3-vector.
- But, what about the Q_a 's acting on the $8 A_{\mu}^a$'s or $8 \varphi^a$'s?

$$\delta A_{\mu}^a = -(D_{\mu} \boldsymbol{\varphi})^a := -c (\partial_{\mu} \varphi^a) + \frac{ig_c}{\hbar c} A_{\mu}^b (\tilde{Q}_b)_c^a \varphi^c \quad a, b, c = 1, \dots, 8$$

$$(\tilde{Q}_b)_c^a = i f_{bc}^a, \quad = -(\partial_{\mu} \varphi^a) - \frac{g_c}{\hbar c} A_{\mu}^b f_{bc}^a \varphi^c,$$

The $SU(3)_c$ -invariant Lagrangian

THE CURVATURE TENSOR AND THE BIANCHI IDENTITY

- Notice the differences: $D'_\mu = U_\varphi D_\mu U_\varphi^{-1}$ implies

$$\Rightarrow A'_\mu = A_\mu - (\partial_\mu \varphi) \text{ for electromagnetism,}$$

$$\Rightarrow (A')^a_\mu = A^a_\mu - (D_\mu \varphi^a) = A^a_\mu - (\partial_\mu \varphi^a) + \frac{g_c}{\hbar c} A^b_\mu f_{bc}^a \varphi^c.$$

- Also,

$$F_{\mu\nu}(A') = F_{\mu\nu}(A),$$

- But, for the non-abelian (matrix-valued) case:

$$(\partial_\mu (A')^a_\nu - \partial_\nu (A')^a_\mu) \neq (\partial_\mu A^a_\nu - \partial_\nu A^a_\mu),$$

$$(\partial_\mu (A')^a_\nu - \partial_\nu (A')^a_\mu) \neq U_\varphi (\partial_\mu A^a_\nu - \partial_\nu A^a_\mu) U_\varphi^{-1}.$$

- Recall however that

$$D'_\mu = U_\varphi D_\mu U_\varphi^{-1},$$

||

The $SU(3)_c$ -invariant Lagrangian

THE CURVATURE TENSOR AND THE BIANCHI IDENTITY

- In electrodynamics:

$$[D_\mu, D_\nu] = \left[\partial_\mu + \frac{iq}{\hbar c} A_\mu, \partial_\nu + \frac{iq}{\hbar c} A_\nu \right] = + \frac{iq}{\hbar c} (\partial_\mu A_\nu - \partial_\nu A_\mu) = \frac{iq}{\hbar c} F_{\mu\nu}.$$

- It must be a commutator, so that the result would not be a differential operator, but an “ordinary” function.
- A commutator also computes the mismatch in... well,
- ...commuting.
- In general: $[D, D] = (\text{torsion}) \cdot D + \text{(curvature)}$.
- So:

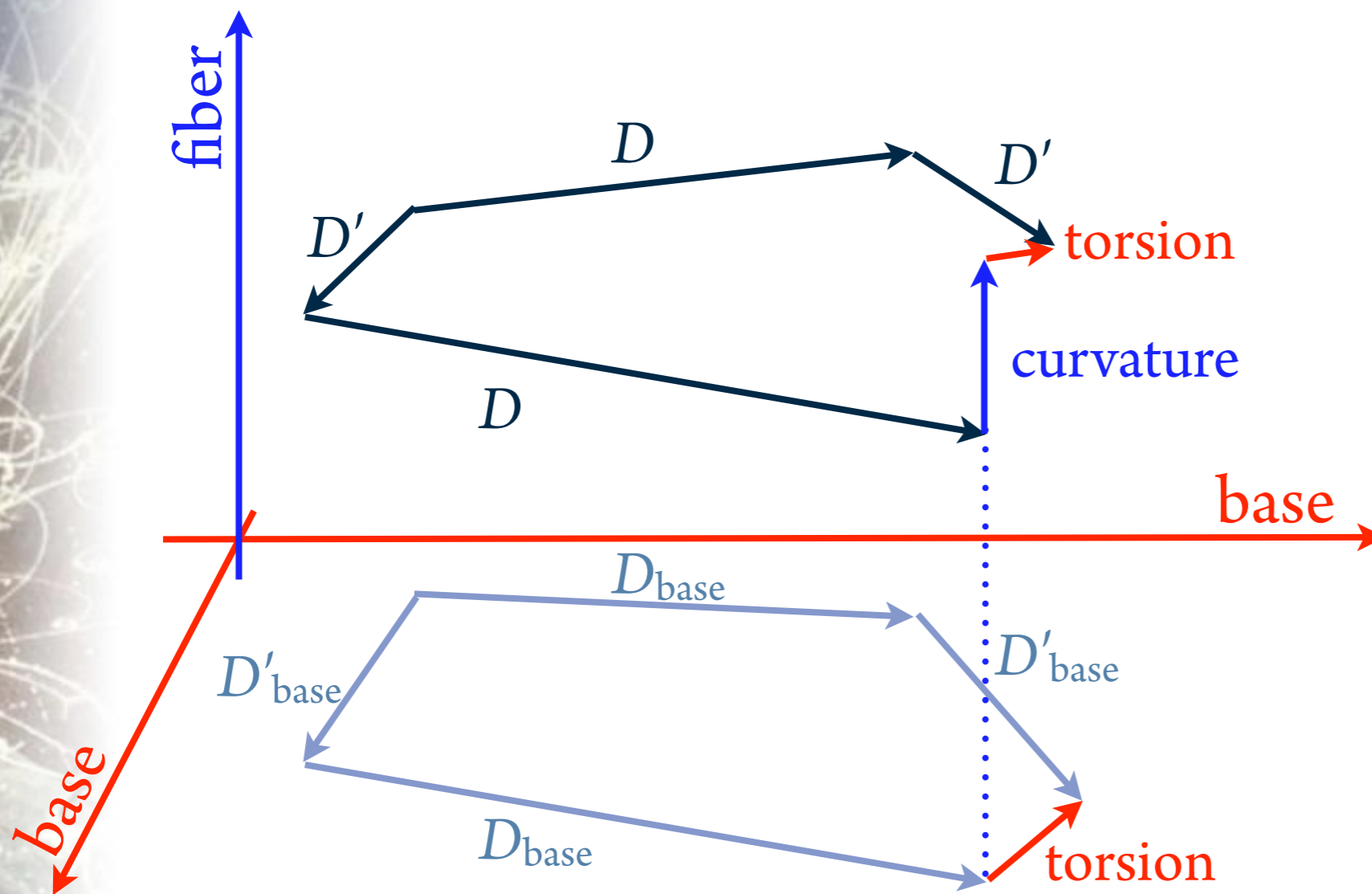
$$\begin{aligned} \mathbb{F}_{\mu\nu} &:= \frac{\hbar c}{ig_c} [D_\mu, D_\nu] = \frac{\hbar c}{ig_c} \left[\partial_\mu + \frac{ig_c}{\hbar c} A_\mu^b Q_b, \partial_\nu + \frac{ig_c}{\hbar c} A_\nu^c Q_c \right], \\ &= (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) Q_a + \frac{\hbar c}{ig_c} \left(\frac{ig_c}{\hbar c} \right)^2 A_\mu^b A_\nu^c [Q_b, Q_c] = F_{\mu\nu}^a Q_a, \end{aligned}$$

$$F_{\mu\nu}^a := (\partial_\mu A_\nu^a - \partial_\nu A_\mu^a) - \frac{g_c}{\hbar c} f^a_{bc} A_\mu^b A_\nu^c,$$

Digression

CURVATURE AND TORSION

- Picture the result of computing $[D, D'] = DD' - D'D$
- ...in the total space of a fiber bundle:



Generally, the rate-of-change operators (D) will fail to commute both in the fiber-space direction (= curvature: at the same “place” but of a different “value”) and in the base-space direction (= torsion: not even at the same “place”).

The $SU(3)_c$ -invariant Lagrangian

THE CURVATURE TENSOR AND THE BIANCHI IDENTITY

- Of course, we have that

$$\begin{aligned}\mathbb{F}_{\mu\nu} &\rightarrow \mathbb{F}'_{\mu\nu} := \frac{i\hbar c}{g_c} [D'_\mu, D'_\nu] = \frac{i\hbar c}{g_c} [U_\varphi D_\mu U_\varphi^{-1}, U_\varphi D_\nu U_\varphi^{-1}] \\ &= \frac{i\hbar c}{g_c} U_\varphi [D_\mu, D_\nu] U_\varphi^{-1}, \\ &= U_\varphi \mathbb{F}_{\mu\nu} U_\varphi^{-1}.\end{aligned}$$

- Independently,

$$\begin{aligned}D_\mu(\mathbb{F}_{\nu\rho}) &= [D_\mu, \mathbb{F}_{\nu\rho}] = \frac{\hbar c}{ig_c} [D_\mu, [D_\nu, D_\rho]] \\ [A, [B, C]] + [B, [C, A]] + [C, [A, B]] &\equiv 0, \\ \varepsilon^{\mu\nu\rho\sigma} D_\mu(\mathbb{F}_{\nu\rho}) &= \frac{\hbar c}{ig_c} \varepsilon^{\mu\nu\rho\sigma} [D_\mu, [D_\nu, D_\rho]] = 0,\end{aligned}$$

- And, for all $SU(n)$:

$$\text{Tr}[\mathbb{F}_{\mu\nu}] = F_{\mu\nu}^k \text{Tr}[Q_k] = 0.$$

The $SU(3)_c$ -invariant Lagrangian

EQUATIONS OF MOTION

- Since the matrix-valued ($\mathfrak{su}(3)$ algebra-valued) curvature transforms by similarity transformation,
- ... with respect to which the trace function is invariant,

$$\begin{aligned}\text{Tr}[\mathbb{F}_{\mu\nu}\mathbb{F}^{\mu\nu}] &\rightarrow \text{Tr}[\mathbb{F}'_{\mu\nu}\mathbb{F}'^{\mu\nu}] = \text{Tr}[U_{\varphi}\mathbb{F}_{\mu\nu}U_{\varphi}^{-1}U_{\varphi}\mathbb{F}^{\mu\nu}U_{\varphi}^{-1}] \\ &= \text{Tr}[\mathbb{F}_{\mu\nu}\mathbb{F}^{\mu\nu}U_{\varphi}^{-1}U_{\varphi}], \\ &= \text{Tr}[\mathbb{F}_{\mu\nu}\mathbb{F}^{\mu\nu}]\end{aligned}$$

- ... so one chooses:

$$\begin{aligned}\mathcal{L}_{\text{QCD}} &= \sum_n \text{Tr} [\bar{\Psi}_n(\mathbf{x}) [i\hbar c \not{D} - m_n c^2] \Psi_n(\mathbf{x})] - \frac{1}{4} \text{Tr} [\mathbb{F}_{\mu\nu}\mathbb{F}^{\mu\nu}], \\ &= \sum_n \bar{\Psi}_{\alpha n}(\mathbf{x}) \left[i\gamma^{\mu} (\hbar c \delta_{\beta}^{\alpha} \partial_{\mu} + ig_c A_{\mu}^a (\frac{1}{2} \lambda_a)^{\alpha}_{\beta}) - m_n c^2 \delta_{\beta}^{\alpha} \right] \Psi_n^{\beta}(\mathbf{x}) \\ &\quad - \frac{1}{4} F_{\mu\nu}^a F_a^{\mu\nu}.\end{aligned}$$

The $SU(3)_c$ -invariant Lagrangian

EQUATIONS OF MOTION

- Variation by A^a_μ yields:

$$D_\mu F^{a\mu\nu} = g_c \sum_n \bar{\Psi}_{n\alpha A} (\gamma^\nu)^A_B \left(\frac{1}{2}\lambda^a\right)^\alpha_\beta \Psi_n^{\beta B},$$

$$j_{(q)}^{a\mu} := g_c \sum_n \bar{\Psi}_{n\alpha A} (\gamma^\mu)^A_B \left(\frac{1}{2}\lambda^a\right)^\alpha_\beta \Psi_n^{\beta B}.$$

- But, while

$$(D_\mu F^{\mu\nu} = \partial_\mu F^{\mu\nu}) = g_e \bar{\Psi}_A (\gamma^\nu)^A_B \Psi^A,$$

- implies

$$\partial_\nu j_e^\nu = \frac{4\pi\epsilon_0 c}{4\pi} \partial_\nu \partial_\mu F^{\mu\nu} \equiv 0, \quad \text{since} \quad F_{\mu\nu} = -F_{\nu\mu}$$

- the same is not true of $D_\mu F^{a\mu\nu}$.

- Instead:

$$D_\nu j_{(q)}^{a\nu} = D_\nu D_\mu F^{a\mu\nu} = -\frac{1}{2} [D_\mu, D_\nu] F^{a\mu\nu} = -\frac{1}{2} f^a_{bc} F_{\mu\nu}^b F^{c\mu\nu} = 0,$$

The $SU(3)_c$ -invariant Lagrangian

COLOR CONSERVATION AND EQUATION OF CONTINUITY

- This does not lead to a conserved color:

$$0 = D_\mu j_{(q)}^{a\mu} = \partial_\mu j_{(q)}^{a\mu} - \frac{g_c}{\hbar c} f^a_{bc} A_\mu^b j_{(q)}^{c\mu},$$

$$\Rightarrow \frac{d}{dt} \left(\int_V d^3\vec{r} j_{(q)}^{a0} \right) = - \oint_{\partial V} d^2\vec{r} \cdot \vec{j}_{(q)}^a + \frac{g_c}{\hbar c} f^a_{bc} \left(\int_V d^3\vec{r} A_\mu^b j_{(q)}^{c\mu} \right),$$

- ... as the additional right-hand side term doesn't vanish.
- However, use that

$$D_\mu F^{a\mu\nu} = \partial_\mu F^{a\mu\nu} - \frac{g_c}{\hbar c} f_{bc}^a A_\mu^b F^{c\mu\nu},$$

$$D_\mu F^{a\mu\nu} = j_{(q)}^{a\nu} \Rightarrow \partial_\mu F^{a\mu\nu} = J_{(c)}^{a\nu}, \Rightarrow \partial_\nu J_{(c)}^{a\nu} = 0,$$

$$J_{(c)}^{a\nu} := j_{(q)}^{a\nu} + \frac{g_c}{\hbar c} f_{bc}^a A_\mu^b F^{c\mu\nu},$$

- ... so both quarks and gluons contribute to the color charge:

$$Q_{(c)}^a := \int d^3\vec{r} J_{(c)}^{a0} = g_c \int d^3\vec{r} \left(\sum_n [\bar{\Psi}_n \boldsymbol{\gamma}^\mu \frac{1}{2} \boldsymbol{\lambda}^a \Psi_n] + \frac{1}{\hbar c} f_{bc}^a A_\mu^b F^{c\mu\nu} \right)$$

The $SU(3)_c$ -invariant Lagrangian

COLOR CONSERVATION AND EQUATION OF CONTINUITY

- This changes the analogues of Gauss-Ampère laws.
- Consider the $\nu = 0$ case of the equation $D_\mu F^{a\mu\nu} = j_{(q)}^{a\nu}$:

$$\partial_\mu F^{a\mu 0} - \frac{g_c}{\hbar c} f^a{}_{bc} A_\mu^b F^{c\mu 0} = j_{(q)}^{a0},$$

- ...and define:

$$\vec{E}^a := \hat{e}_i F^{ai0}, \quad \rho_{(q)}^a := j_{(q)}^{a0}, \quad \vec{A}^a := -\hat{e}^i A_i^a,$$

- Then,

$$\vec{\nabla} \cdot \vec{E}^a = \rho_{(q)}^a - \frac{g_c}{\hbar c} f^a{}_{bc} \vec{A}^b \cdot \vec{E}^c,$$

- and

- it is impossible to write analogues of Maxwell's equations with no reference to the gauge potentials
- both quarks and gluons serve as “sources” for the color force-field
- the equations are nonlinear.

The $SU(3)_c$ -invariant Lagrangian

COLOR CONSERVATION AND EQUATION OF CONTINUITY

- To sum up:

$$D_\mu \mathbb{F}^{\mu\nu} = \mathbb{J}_{(q)}^\nu \quad \text{and} \quad \varepsilon^{\mu\nu\rho\sigma} D_\mu (\mathbb{F}_{\nu\rho}) = 0,$$

$$\mathbb{J}_{(q)}^\nu := g_c \left(\sum_n \bar{\Psi}_{n\alpha A} (\gamma^\mu)^A_B \left(\frac{1}{2}\lambda^a\right)^\alpha_\beta \Psi_n^{\alpha A} \right) Q_a$$

$$\partial_\mu \mathbb{F}^{\mu\nu} = \mathbb{J}_{(c)}^\nu, \quad \mathbb{J}_{(c)}^\nu := \mathbb{J}_{(q)}^\nu + \frac{ig_c}{\hbar c} [\mathbb{A}_\mu, \mathbb{F}^{\mu\nu}],$$

$$\partial_\nu \mathbb{J}_{(c)}^\nu = 0, \quad \frac{d}{dt} \int_V d^3\vec{r} \mathbb{J}_{(c)}^0 = - \oint_{\partial V} d^2\vec{\sigma} \cdot \vec{\mathbb{J}}_{(c)}.$$

- are the matrix-valued analogues of
 - the Maxwell's equations
 - the conserved color-current & color-charge.

Thanks!

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